

Audit and Governance Committee Forward Plan 2021

Date of Meeting	Item	Purpose / Key lines of Enquiry	Lead Councillor and Officer
9 July 2021			
	SWAP Audit Report SEND Transport	To consider the actions which have and are being taken forward in response to the audit.	Portfolio Holder – Cllr Andrew Parry / Cllr Ray Bryan Officer contact – John Sellgren / Matt Piles
	Annual Whistle Blowing Report	Annual Report	Portfolio Holder – Cllr Spencer Flower Officer contact – Marc Eyre
	Corporate Complaints	Annual Report	Portfolio Holder – Cllr Spencer Flower Officer contact – Marc Eyre
	Internal Audit Progress Report	Progress Report	Portfolio Holder – Cllr Spencer Flower Officer contact- Rupert Bamberger – SWAP Sally White - SWAP
	Quarterly Risk Management Update	Update Report	Portfolio Holder – Cllr Spencer Flower Officer contact – Marc Eyre
	Prevent	Recommendation from the People & Health Overview Committee	Officer contact – Andy Frost – Service Manager for Community Safety

Date of Meeting	Item	Purpose / Key lines of Enquiry	Lead Councillor and Officer
9 August 2021- cancelled			
Date of Meeting	Item	Purpose / Key lines of Enquiry	Lead Councillor and Officer

27 September 2021			
	Quarterly Risk Management Update	Update Report	Portfolio Holder – Cllr Spencer Flower Officer contact – Marc Eyre
	Internal Audit Progress Report	Progress report	Portfolio Holder – Cllr Spencer Flower Officer contact- Rupert Bamberger – SWAP Sally White - SWAP
	Constitution Changes – Notices of Motion	Recommendation to Council	Portfolio Holder – Cllr Spencer Flower Officer contact – Jonathan Mair
	Value for Money Report	Update report	Portfolio Holder – Cllr Peter Wharf Officer contact – Bridget Downton & Rebecca Forrester
	Agency Spending	Update report	Portfolio Holder – Cllr Peter Wharf Officer contact – David Macintosh
	Treasury Management Annual Review 2020/22	Annual Report	Portfolio Holder – Cllr Gary Suttle Officer contact – David Wilkes

Date of Meeting	Item	Purpose / Key lines of Enquiry	Lead Councillor and Officer
15 November 2021			
	Treasury Management Mid-Year Review 2021/22	Mid-Year Review	Portfolio Holder – Cllr Gary Suttle Officer contact – David Wilkes
Date of Meeting	Item	Purpose / Key lines of Enquiry	Lead Councillor and Officer
17 January 2022			
	Quarterly Risk Management Update	Update Report	Portfolio Holder – Cllr Spencer Flower Officer contact – Marc Eyre
	Internal Audit Progress Report	Progress report	Portfolio Holder – Cllr Spencer Flower Officer contact- Rupert Bamberger – SWAP Sally White - SWAP
Date of Meeting	Item	Purpose / Key lines of Enquiry	Lead Councillor and Officer
21 February 2022			
Date of Meeting	Item	Purpose / Key lines of Enquiry	Lead Councillor and Officer
11 April 2022			
	Quarterly Risk Management Update	Update Report	Portfolio Holder – Cllr Spencer Flower Officer contact – Marc Eyre
	Internal Audit Annual Report & Internal Audit Planning Report	Annual Report	Portfolio Holder – Cllr Spencer Flower Officer contact- Rupert Bamberger – SWAP Sally White - SWAP

	Annual Governance Statement	Annual Report	Portfolio Holder – Cllr Spencer Flower Officer contact – Marc Eyre
--	-----------------------------	---------------	-----------------------------------------------------------------------

Other items raised by Audit and Governance Committee requiring further consideration

Issue	Notes	Date raised
Workforce stress / mental health issues	The committee have raised this as a potential area of work but note that it is linked to current transformation work	At committee on 7 November 2019
How Dorset Council holds and shares information	It is understood that some work is being undertaken in this area. A councillor workshop on the Dorset Council transformation programmes is being held on 10 January 2020. The suggestion is that councillors attend this session and following this, the committee give further consideration to whether any further work is required in this area	At committee on 7 November 2019
Schedule of debt	Jim Mcmanus agreed to produce a schedule of debt and the areas in the Capital Budget funded by borrowing.	At pre-meeting on 8 February 2021